

# BRITISH HORSERACING AUTHORITY REGULATIONS FOR ARABIAN HORSERACING

## FOREWORD

Arabian Horseracing is held under the regulatory authority of the British Horseracing Authority (BHA) who also authorise the publication of “BHA Regulations for Arabian Horseracing” and make such alterations to them from time to time that they consider necessary. The Regulations for **2023** as approved by the BHA, are as in this edition.

The allocation, cancellation and alteration of Arabian Horseracing fixtures is authorised at the discretion of the BHA. The Arabian Racing Organisation (ARO) will administer Arabian Horseracing under these Regulations and under the regulatory authority of the BHA.

## INTRODUCTION

These regulations apply to all Arabian Horse Races authorised by the BHA.

All Arabian races run under these Regulations will be run under the BHA Rules of Racing on Raceday.

**The BHA Rules of Racing shall apply in all cases unless the matter is expressly dealt with by these Regulations.**

## DEFINITIONS

The following Definitions shall apply to these Regulations.

*ADVERSE ANALYTICAL FINDING* means a positive finding for the presence of a Prohibited Substance.

*ALL-WEATHER TRACK* is a track with a racing surface other than turf, which has the approval of the BHA.

*AMATEUR HANDICAP* is a Handicap Race which is restricted to Amateur Jockeys eligible to ride in Premier Handicap Races.

*AMATEUR JOCKEY* is a person who holds a licence to ride as an amateur which may be restricted to certain types of race, from the BHA or an equivalent licence from any other Recognised Racing Authority.

*ANALYSIS* means any analysis carried out on a Sample, including an analysis of a stored Sample and a B Sample analysis (if carried out).

*APPRENTICE HANDICAP* is a Handicap Race, which is open to Apprentice Jockeys eligible to ride in Premier Handicap Races.

*APPRENTICE JOCKEY* is as defined in the BHA Rules of Racing, or a person who holds an equivalent licence from a Recognised Racing Authority.

*APPROVED PERSON* means a person who has been appointed by the BHA under the BHA Equine Anti-Doping Rules and shall have the powers ascribed to such persons as set out in Rule (L)2 of the BHA Rules of Racing and such other powers as the BHA may have conferred upon him under the Rules of Racing.

*THE ARAB HORSE SOCIETY* is the Stud Book Authority for the Arabian Horse in Great Britain.

*ARABIAN RACING ORGANISATION (ARO)* is the Arabian Racing Organisation Limited a private company limited by a guarantee and not having a share capital incorporated and registered under the Companies Act 1985 with registered number 3902941. (The ARO Office is based at Newbury Racecourse, Newbury, Berks. RG14 7NZ Telephone 01635 524445).

*ARO RACING CALENDAR* is published by the Arabian Racing Organisation and contains the weights and conditions for all races run under the "BHA Regulations for Arabian Horse Racing".

*ARREARS* means all sums to be paid in accordance with these Regulations (including any unpaid entrance fee) together with any costs assessed by the Court.

*BETTING ORGANISATION* means any bookmaker, the Tote, any company offering spread betting on horseracing or person to person betting exchanges on horseracing and the employees of any such organizations.

*BRITISH BRED* For the purpose of Arabian Horse Racing, these are horses whose dams were registered in the Arab Horse Society Stud Book at the time of foaling. In addition, the horse concerned must be foaled and first registered in Great Britain. Furthermore, the horse concerned must not leave

Great Britain before July 1st of the year following the year of birth except that in the year of its birth, provided that it returned with its dam before October 1st of that year, it may have accompanied its dam to a stallion outside Great Britain.

*BRITISH HORSERACING AUTHORITY (BHA)* means the entity incorporated under the Companies Act and registered under registration number 2813358.

*BRITISH HORSERACING AUTHORITY OFFICE* is the office for the time being appointed as the office of the British Horseracing Authority. (The present address of the British Horseracing Authority is 75, High Holborn, London, WC1V 6LS).

*“BRITISH HORSERACING AUTHORITY REGULATIONS FOR ARABIAN HORSERACING”* and *“BRITISH HORSERACING AUTHORITY REGULATIONS FOR POINT-TO-POINT STEEPLE CHASES”* are the works published under those names respectively having the authority of the BHA.

*BHA-APPROVED LABORATORY* means one of the laboratories listed in the list of BHA-approved laboratories as it may be updated by the BHA from time to time.

Note: The current list of BHA-approved laboratories is as follows:-

1. Australian Racing Forensic Laboratory (ARFL), Australia
2. Deutsche Sporthochschule Koln Institut fur Biochemie (DSKIB), Germany
3. Hong Kong Jockey Club Racing Laboratory, Hong Kong
4. Laboratoire Des Courses Hippiques (LCH), France
5. LGC Laboratory, Newmarket, UK
6. Racing Analytical Services Limited (RASL), Australia

*CERTIFICATE OF ANALYSIS* means a written statement of the result of an Analysis of the Sample which is issued by a BHA-Approved Laboratory.

*CHAMPIONSHIP RACE* is a race for Pure Bred Arabians run at weights determined by the race conditions open to any Pure Bred Arabian trained in Great Britain.

*CHIEF MEDICAL ADVISER* means the BHA's Chief Medical Adviser.

*CLASSIFIED STAKES* is a weight for age race for Pure Bred Arabians restricted to horses which have been awarded Handicap Ratings at or below a figure specified in the conditions of the race and is not a Maiden race.

*CONDITIONS RACE* is the general description of a race which is not a Championship or Handicap race and is not restricted to Maidens or Novices but which has restrictions on entry specified in the conditions of the race and may have penalties for previous wins specified in the conditions of the race.

*CUP* is any prize not given in money.

*DAY* means twenty-four hours finishing at midnight.

*DISQUALIFIED PERSON* means a person who is for the time being a disqualified person pursuant to these Regulations, the BHA Rules of Racing, or the BHA Regulations for Point-to-Point Steeple Chases, and shall be subject to the restrictions set out in Rules (L)53 to (L)55 of the BHA Rules of Racing.

*FORFEIT LIST* means the list maintained by ARO under Regulation 95.

*GENERAL INSTRUCTIONS* means Instructions relating to one or more racecourses which are issued to Racecourse Managing Executives by the BHA.

*GREAT BRITAIN* means England, Scotland and Wales.

*GROUP RACE* is an International weight-for-age race awarded Group (PA) status by the International Federation of Horseracing Authorities for Arabian Horse Racing.

*HANDICAP RACE* is a race in which the weights for the horses are allotted by the Handicapper for the purpose of equalising their chances of winning.

*HANDICAP RATING* is the number allocated to a horse by the Handicapper on their assessment of that horse's performance.

*HANDICAPPER* means a person appointed by the Arabian Racing Organisation to be a Handicapper for race meetings run under these Regulations.

*HORSE* includes stallion, mare, gelding, colt and filly.

*IMPORTED HORSE* for the purpose of Regulation 16 means a horse which has been imported into Great Britain.

*JOCKEY* is a person who holds a licence from the BHA or another Recognised Racing Authority.

*LISTED RACE* is an International weight for age race awarded Listed status by the International Federation of Horseracing Authorities for Arabian Horse Racing.

*MAIDEN* for Arabian Horse Races is a horse which has never won a race, except a Jump Race, under the BHA Regulations for Arabian Horse Racing or under the Rules of any Recognised Racing Authority. Unless otherwise stated a maiden means a maiden at the time of the start.

*MAIDEN RACE* is a race restricted to Maiden horses at the time of the start but which may have additional restrictions on entry as specified in the conditions of the race.

*MEDICAL RECORD BOOK* means a document issued by the BHA or a Recognised Racing Authority to a Jockey for the purpose of setting out their medical and injury history.

*METABOLITE* means any substance produced by a biotransformation process.

*MONTH* means a calendar month.

*NOVICE* for Arabian Horse Races is a horse which:

- i) has not won more than two races,
- ii) has not won a Group Race, and
- iii) has not run in more than two races, except if it has never previously won a race.

N.B. for the purposes of this definition, race means any race, except a Jump Race, run under the BHA Regulations for Arabian Horse Racing or under the Rules of any Recognised Racing Authority.

*NOVICE RACE* is a race restricted to Novice horses at the time of the start but which may have additional restrictions on entry as specified in the conditions of the race.

*OFFENCE* save as otherwise specified in the Regulations means a breach of these Regulations.

*OWNER* means the person registered as the owner of the horse with the Arabian Racing Organisation at the time of declaration under Regulation 80. Where a horse is leased, owner means the lessee but not the lessor. The term "Owner" includes "Managing Owner" and part-owner and means all such owners.

*PASSPORT* is the approved diagrammatic document of identity of a horse issued by the Arab Horse Society or any World Arabian Horse Organisation recognised Stud Book or Register.

*PERSON* means a natural person or a body corporate or other entity.

*PROGRAMME* is the schedule of races at a Meeting as referred to in Regulation 68.

*PROHIBITED LIST* means the Equine Prohibited List Code of the BHA Rules of Racing.

*PROHIBITED METHOD* means a method so described on the Prohibited List.

*PROHIBITED SUBSTANCE* means any substance or class of substances so described on the Prohibited List. An Adverse Analytical Finding for a Prohibited Substance shall include a finding of the substance itself, a Metabolite of the substance, an isomer of the substance an isomer of a Metabolite and a pro-drug of the substance.

*PURE BRED horse* means any horse which appears in a Pure Bred Arabian Horse Stud Book or Register listed by the World Arabian Horse Organization (WAHO) as acceptable.

*RACE* means any Arabian Horse Race run under these Regulations for registered horses as defined in Regulation 22 or any race run under the Rules or Regulations of a racing authority recognised by the International Federation of Horseracing Authorities (IFAHR).

*RACECOURSE MANAGING EXECUTIVE* means the person who holds a racecourse licensed granted by the BHA under Rule (B)44 of the BHA Rules of Racing.

*RACECOURSE PROPERTY* means any property owned, used or controlled by the Racecourse Managing Executive.

*RACEDAY* means the period commencing at 12.01 am on the day of a race in which a Horse is scheduled to run terminating when the Horse has left the Racecourse Property after the race.

*RACING ADMINISTRATION WEBSITE* is the internet based administration service provided by the BHA, which can be accessed at [www2.racingadmin.co.uk](http://www2.racingadmin.co.uk)

*RACING CLEARANCE NOTIFICATION (RCN)* means a notification from:

- i) ARO that the Trainer is registered with ARO, that the Owner of the horse is registered with ARO and does not appear on the Forfeit List, and indicating whether the horse is free from restrictions that prevent it from racing, or
- ii) from another Recognised Racing Authority that the trainer is duly qualified with that Recognised Racing Authority, that all requirements of the rules of the Recognised Racing Authority that apply to the owner of the horse are met, and indicating whether the horse is free from restrictions that prevent it from racing.

*RECOGNISED MEETING* is a Meeting authorised by a recognised Racing Authority and in the case of Great Britain which has been authorised by the BHA.

*RECOGNISED RACING AUTHORITY* means a racing authority of a country which is for the time being recognised by the BHA under the Rules of Racing and/or an authority recognised by the International Federation of Arabian Horseracing Authorities.

*SAFETY FACTOR* is the greatest number of horses which can be started safely in a race, as determined by ARO.

*SAMPLE* means a quantity of any body fluid, tissue, excreta, hair or skin scrapings or of items in contact with any part of the horse which are taken at the discretion of the person collecting the sample (and may involve the removal of implants) and includes samples which have been stored after having previously been reported as negative.

*SEASON* means a period starting on the 1<sup>st</sup> January and ending on 31<sup>st</sup> December in any year.

*STALLS TEST* means a test to determine whether a horse can satisfactorily start from starting stalls, held under the BHA Rules of Racing;

*STEWARDS* means Stewards of a meeting as appointed by the BHA.

*SWEEPSTAKES* is a race in which the entrance fee or other contribution of three or more owners go to the winner or placed horses, and any such race is still a Sweepstakes when money or other prize is added.

*THRESHOLD LEVEL* means the concentration of a substance which is specified as a Threshold Level in Appendix 1 (being a concentration above which a Sample will be considered positive).

*THRESHOLD SUBSTANCE* means a substance for which there is a Threshold Level in Appendix 1.

*TIME OF ENTRY* means the time fixed for the closing of entries as advertised and does not include any time set for the acceptance of additional entries as a result of re-opening races under Regulation 73.

*TRAINER* means a person registered as the trainer of the horse on the prescribed form with ARO or with another Recognised Racing Authority.

*V.A.T.* means the Value Added Tax for time being in force.

*VETERINARY OFFICER* means a registered veterinary surgeon engaged by the BHA.

*VETERINARY SURGEON* is any qualified veterinary practitioner appropriately registered or licensed to practice.

*WINNER* means the winner of the race (see definition of race).

*YEAR* means a calendar year.

## REGULATIONS

### BRITISH HORSERACING AUTHORITY (BHA)

1. The BHA have power, at their discretion:
  - (i) to authorise all Arabian Racing fixtures and fixtures' fees, to cancel such fixtures and to make any alterations to them from time to time that they consider necessary.
  - (ii) in the case of an emergency or expediency to order the abandonment of any Race or Race Meeting and to supervise and make such alterations as they may think advisable in the Programme of, or the conditions of any Race, at any Meeting.
  - (iii) to authorise acceptance of entries or to instruct that they are to be refused and in the case of expediency to refuse to allow a horse duly entered to run in any race, in which event the BHA may at their discretion direct that the entrance money be remitted to the owner.
  - (iv) to authorise the publication of "British Horseracing Authority Regulations for Arabian Horse Racing" and to make any alterations to them from time to time that they consider necessary.
  - (v) to call upon the Stewards to alter or expunge any conditions of races even after advertisement in any form.
  - (vi) to make enquiry into and deal with any matter relating to racing, whether such matters arise in Great Britain or elsewhere. For the avoidance of doubt it is hereby declared that this power includes the power to enquire into and adjudicate upon conduct that has already been considered by Stewards under BHA Rules of Racing, no matter what has been the decision or action of such Stewards and notwithstanding that the matter has not been reported by the Stewards to the BHA.
  - (vii) to entertain and determine appeals from the Stewards.
  - (viii) to entertain and decide objections lodged under Rule (H)18 of the BHA Rules of Racing.
  - (ix) to authorise the publication in the public press and media or the Racing Administration Website or in any other manner as the BHA shall in its absolute discretion think fit of their decisions respecting any matter and any person. For the avoidance of doubt this power includes the power to publish any charges for breach of the Regulations laid against any person, including any facts alleged in support of them, any topics for a disciplinary enquiry and/or appeal hearing, and any directions, findings and penalties which have been made in relation to the same and any other of their decisions respecting any matter and any person, and of the decisions and reports of Stewards of Meetings without prejudice to the power of the Stewards under the BHA Rules of Racing.
  - (x) to authorise and publish on the Arabian Racing Organisation website or other racing publications from time to time such instructions as they may think fit.
  - (xi) to exercise any other powers conferred upon them by these Regulations and to take any such action as they consider necessary for the purpose of carrying out or putting into effect these Regulations including, without limitation, issuing instructions to comply with any Regulation to prevent an anticipated breach of any Regulation.

- (xii) in cases of emergency or expediency, to modify the “British Horseracing Authority Regulations for Arabian Horse Racing” or any part thereof, or to suspend any Regulation or any part thereof, for such period or periods as they think fit, without giving previous notice.
  - (xiii) to refuse to accept, to cancel or otherwise to vary a registration under these Regulations, and/or to delegate the power to the Arabian Racing Organisation.
2. When any person subject to the “British Horseracing Authority Regulations for Arabian Horse Racing” has, in the opinion of the BHA, committed a breach thereof the BHA have power at their discretion to impose upon such person any one or more of the following penalties in addition to any other consequence provided for under these Regulations, namely:
- (a) impose a fine not exceeding £75,000
  - (b) declare him a Disqualified Person
  - (c) make him ineligible for any registration under these Regulations for such period or periods as they may in their discretion think fit
  - (d) impose the suspension or withdrawal of a Licence
  - (e) order a Jockey to attend a course in remedial training
  - (f) issue a caution
  - (g) impose such other penalty or order as it considers appropriate.

Save that where any Regulation prescribes a maximum penalty they may not impose any greater penalty or any penalty of some other kind and save that where any Regulation prescribes a mandatory penalty they shall impose that penalty. Save as aforesaid the powers given by this Regulation are not and are not to be construed as being in any way or in any instance limited or excluded by reason of the fact that some Regulations do while others do not prescribe penalties for their breach. For the avoidance of doubt the preceding power applies to any person who has ceased to be subject to the Regulations provided that the commission of the breach or offence by such person took place whilst he was subject to the Rules of Racing.

3. The BHA shall have power to exclude or cause or order to be excluded for any period or for an indefinite period from any premises used for Arabian Horse Racing any person whether or not subject to the “British Horseracing Authority Regulations for Arabian Horse Racing” where, in their absolute discretion, they consider the presence of such person on such premises undesirable in the interest of racing even though such person is not and has not been declared, a disqualified person.
4. The procedures relating to enquiries, appeals, deposits, costs and implementation of decisions shall take effect as stated in the Judicial Panel Code of the BHA Rules of Racing.
5. Where the Stewards have suspended a Rider at the racecourse in question the BHA shall suspend such Rider for the same period for all races unless:
- (i) the right of appeal is exercised (but without prejudice to the powers of the BHA on determining any such appeal), or
  - (ii) the BHA shall have exercised the discretion in Regulation 6 to cancel or reduce the period of suspension in which event the period of suspension imposed under this Regulation shall be reduced accordingly, or
  - (iii) the suspension in question is for four days or less and the dates of the suspension fall on a day when the Rider is engaged to ride outside Great Britain in a race at a meeting with a Group 1 Pattern Race (as defined in the Rules of Racing) or a race regarded as Group 1 as indicated in Part 1 of the International Cataloguing Standards Book or, in the opinion of the BHA, is the equivalent of a Grade 1 Pattern Race (Rules (H)30 and (H)31 of the Rules of Racing apply), or the suspension in question is for four days or less as provided for in Rules (H)30 and (H)31 of the Rules of Racing. In such circumstances and provided the Rider



shall have applied to them in the manner from time to time instructed, the BHA may substitute a different period subject to such conditions as they think fit.

6. The BHA may at their discretion consider any video-recording, transcript, notes of evidence, statements or other material and may at their discretion cancel any order of suspension or fine or caution or reduce the period of the suspension.
7. On entertaining any appeal from the decision of the Stewards or on a full enquiry into the matters the BHA may without prejudice to the powers contained in Regulations 2 to 6 extend the period of suspension.
8. The BHA take no cognisance of any disputes or claims with respect to bets.
9. No person who has been requested by or on behalf of the BHA to attend an enquiry or hearing of any appeal shall without reasonable cause fail or refuse to do so.

## **PART 2**

### **OFFICIALS**

10. Officials namely Stewards, Clerks of the Scales, Judges, Starters Veterinary Officers and Equine Welfare and Integrity Officers shall be appointed by the BHA (BHA Officials).
11. Every complaint against a BHA Official shall be made to the Stewards or the BHA in writing signed by the complainant.

### **STEWARDS OF MEETINGS**

12. The Stewards have full powers as set out in the BHA Rules of Racing.
13. The Stewards as such shall not entertain any disputes relating to bets.

## **PART 3**

### **HORSES**

14. The age of a horse shall be reckoned as beginning from the 1st January in the year in which he is foaled.

### **ELIGIBILITY**

15. No horse shall be eligible to be entered or to run in an Arabian Horse Race governed by these Regulations unless:
  - (i) It is registered with its name three working days before the closing date of entries for the race in which it is due to take part in the Arab Horse Society's Stud Books for Pure Bred Arabians or in another Pure Bred Stud Book listed as acceptable to the World Arabian Horse Organisation in its Arabian Horse Definition, and it has a Passport bearing the registered name, which must comply with the requirements listed in Appendix 38 to these Regulations.
  - (ii) It was first registered in the country where it was foaled.
  - (iii) It is registered before the closing date of entries or supplementary entries for the race in which it is due to take part with ARO. Any registration made within three working days of the closing date of entries or supplementary entries will incur an additional fee as set out in Regulation 92. The application must be accompanied by a copy of the Passport and, where the horse is foaled outside Great Britain and trained in Great

Britain, it must also be accompanied by a certificate of age and markings signed by a Veterinary Surgeon resident in Great Britain.

- (iv) its Passport shows evidence from a Veterinary Surgeon that it has been implanted with an identifying microchip.
  - (v) it has been parentage identified to a minimum of two generations and its pedigree contains named horses throughout in a minimum of two generations, to the satisfaction of ARO.
16. No horse shall be eligible to be entered or to run in any Arabian Horse Race under these Regulations, which:
- (i) has been stabled with, or has been in the care of or been trained by a person holding a Permit to train under the Rules of any Recognised Racing Authority, or
  - (ii) has not been in the care and control of the Trainer at the time of and since entry, or
  - (iii) has been imported into Great Britain for more than 90 days unless export documentation issued by the relevant Stud Book authority is lodged with the Arab Horse Society subject to the discretion of ARO.
17. No horse shall be eligible to be entered or run in any Arabian Horse race under these Regulations which is less than three years old. No horse aged 18 years or over shall be eligible to be entered or run in any Arabian Horse race run under these Regulations unless it has been approved as fit to race for each season in writing by a Veterinary Surgeon who is neither the Owner nor Trainer of the horse in question.
18. No Race can combine two horses with the same year of birth and the same dam. Where two or more horses have the same year of birth and the same dam they will be reduced to one by random ballot at the time for declaration under Regulation 80. Any horse bred by embryo transfer must be declared as such at the time of entry and failure to make such declaration will cause the horse to be subject to disqualification under Regulation 97 (viii)

## **IDENTIFICATION AND VACCINATION**

19. The Trainer must ensure that every horse that enters any racecourse when a meeting held under these Regulations takes place is accompanied by its Passport giving a complete and up to date vaccination record signed by a Veterinary Surgeon, who is unrelated to and independent of the Owner of the horse, the Trainer of the horse and any Person who is employed by the Trainer. Such a document must show in both cases that it has received two primary vaccinations against Equine Influenza, which has been given no less than 21 days apart and no more than 60 days apart. In addition, where sufficient time has elapsed subsequent to the primary vaccinations the vaccination section of the Passport must be completed to show that:
- two primary vaccinations administered not less than 21 days and not more than 60 days apart;
- if sufficient time has elapsed since the primary vaccination:
- (i) a booster vaccination which is administered not less than 120 days and not more than 180 days after the second component of the primary vaccination; and
  - (ii) further booster vaccinations at intervals of not more than 6 months apart.
  - (iii) A horse which fails to satisfy these requirements is not qualified to run, unless the Stewards direct otherwise.

From 01 January 2022, the primary course and booster interval schedule for Equine Influenza vaccinations will be amended as follows:

	INTERVAL PRIOR TO 1 JANUARY 2022	INTERVAL FROM 1 JANUARY 2022
VAC 1		
VAC 2	21 – 92 days	21 – 60 days
VAC 3	150 – 215 days	120 – 180 days
BOOSTER	Not more than 1 year apart	Not more than 6 months apart

<https://rules.britishhorseracing.com/#!/book/34/chapter/s3534-vaccinations-code/content>

The changes are as a result of consultation and agreement by the European Horserace Scientific Liaison Committee (EHSLC) Board and the aim for harmonisation of Rules and Regulations across all of the European Racing Authorities.

The BHA and Irish Horseracing Regulatory Board (IHRB), who have also introduced the new intervals from 1 January 2022, have coordinated their approach and staggered the implementation of the full requirement for those horses who were correctly vaccinated as at 31 December 2021 – see below

It should also be noted that the new primary course and booster intervals were implemented in both France and Germany on 05 May 2021, so horses entered to race in these jurisdictions must already be compliant with the new requirements.

#### **Primary course intervals**

- Primary course intervals are only required for horses that have not yet been administered a primary course or are required to re-start a new primary course.
- Horses that have a compliant primary course and compliant subsequent boosters up to and including 31 December 2021 **do not** have to re-start the vaccination process.
- Any horse that started a new primary course, up to and including 31 December, should continue with the current intervals, even if V2 and V3 are administered in 2022.
- Any horse starting a primary course from 01 January 2022 must comply with the new intervals.

#### **Six-monthly boosters**

- Boosters should be administered no more than six months apart, according to calendar months rather than a fixed number of days. For example, a horse that is fully vaccinated on 01 January would require a booster on or before 01 July.
- We ask that trainers seek to move to the new vaccination schedule as soon as practicable. However, to help trainers implement the changes with minimal disruption to training, horses should be fully compliant with the new requirements by 01 January 2023.
- This means that before 01 January 2023, race entry will not be refused on the ground of having not yet commenced six-monthly boosters.
- As of 01 January 2023, an Entry for a Race, or a Confirmation of Entry in respect of Early Closers, will not be accepted for any horse unless its vaccination record shows a vaccination in the last six months.
- To be clear: all horses must have a compliant vaccination record by 01 January 2023 (i.e.) all horses must have been administered a booster within six months of 01 January 2023.
  - Horses which are due an annual booster between 01 January 2022 – 30 June 2022 will require a booster vaccination between 01 July 2022 – 31 December 2022 in order to be compliant by 01 January 2023.
  - Horses which are due an annual booster between 01 July 2022 – 31 December 2022 will be compliant by 01 January 2023.  
NB horses which are vaccinated on 01 July 2022 will be due their next booster on or before 01 January 2023.
- As under the existing Rules, there is no minimum interval for boosters.
- Horses which do not have a compliant vaccination record as of 01 January 2023 will be required to re-start. Horses which have an interval of over six months between boosters will be required to re-start.

*N.B.1 The above are minimum requirements. The vaccines administered must either appear on the list of equine influenza vaccinations which is maintained by BHA or be acceptable to a Veterinary Officer and in general should be given according to the manufacturer's instructions. In many cases booster injections are recommended at intervals of less than twelve months.*

*N.B.2.All vaccination intervals referred to above are to be calculated by ignoring the day of the vaccination and counting the day of the race.*

20. A Passport will not be regarded as being completed as required under Regulation 19 if any record of vaccinations against Equine Influenza is altered in any way. An incorrect entry must be deleted and a new entry made, signed by the Veterinary Surgeon who was responsible for giving the vaccination or by a Veterinary Surgeon who has received confirmation of the correct details of the vaccination from the Veterinary Surgeon who gave the vaccination or a representative of that Veterinary Surgeon.
21. The Trainer must ensure that every horse trained by him has each of its vaccinations recorded in Equine MediRecord, and that this record matches that in the Passport, unless ARO directs otherwise.
22. When a horse has been declared to run under Regulation 80 for:
  - (i) the first time in Great Britain, or
  - (ii) it is trained outside Great Britain, or
  - (iii) it has changed Trainer and is running for the first time for the new Trainer, or
  - (iv) it is required for a routine vaccination or health check,

it shall be the responsibility of the Trainer to ensure the passport is presented to the Equine Welfare and Integrity Officer for verification not less than three-quarters of an hour before the advertised time of the race. If the identity of the horse is not correctly verified the horse will not be qualified to run.

23. On each occasion when a horse trained outside Great Britain and Ireland is to race under these Regulations, a Racing Clearance Notification (RCN) must be submitted to ARO no later than the deadline for declaration under Regulation 80. The horse will not be eligible to be declared under Regulation 80 unless an acceptable Racing Clearance Notification (RCN) has been received.
24. On each occasion when a horse registered with ARO is to race outside Great Britain and Ireland the Trainer must request, no later than the deadline for declaration, that the ARO Office submits a Racing Clearance Notification (RCN) to the Recognised Racing Authority staging the race. A fee is payable in relation to a request to submit a RCN to a Recognised Racing Authority.

#### **PART 4A**

### **OWNERS**

25. Owners, part-owners, company owners and managing owners shall be registered annually by the ARO Office for the purpose of Arabian Horse Racing under these Regulations upon the prescribed form, subject to such restrictions and conditions as ARO considers necessary, on payment of the relevant annual fee and sign a declaration agreeing to be bound by these Regulations currently in force. Any registration made within two weeks of the closing date of entries or supplementary entries may incur an additional fee as set out in Regulation 92.

26. Multiple ownerships shall register annually upon the prescribed form to the ARO Office, pay the relevant fee and make use of one of the following titles when entering and running horses:

“The .....Company”  
“The .....Family”  
“The .....Friends”  
“The .....Partners”  
“The .....Partnership”  
“The.....Group”  
“The.....Club”  
“The.....Syndicate”

Any such name must not be offensive and will be subject to the approval of ARO.

27. Where a horse is subject to a leasing agreement, a signed document stating the names and addresses of all the parties interested and the terms of such lease shall be sent to the ARO Office for registration.
28. Any leasing agreement affected under Regulation 27 is subject to an annual registration fee.
29. The name of the Owner must be given at the time of entry and the horse must run under that name. In the event of there being any change in the membership of the partnership or syndicate ARO must be notified within seven days, or immediately if the horse has any outstanding entries.
30. If a horse changes ownership during the season the ARO Office must receive a new Racehorse in Training form and, where appropriate, a completed Authority to Act and/or Transfer of Engagements on the prescribed form(s) within 7 days, or immediately if the horse has any outstanding entries.

## **RACING COLOURS**

31. Every owner in whose name a horse is to run is required to register colours annually with the ARO Office and pay the relevant fee. Colours duly registered shall not be taken by any other person for the purpose of racing under these Regulations. Colours shall be registered upon the prescribed form and such registration is subject to such restrictions and conditions as ARO considers necessary. Single colours or colours, which, in the opinion of ARO, are famous or well-known colours will not be registered by ARO unless the applicant has such colours currently registered with the BHA.
32. In the case of horses trained abroad a declaration of colours to the ARO Office for each race by the time fixed for declaration of runners in the conditions of the race is acceptable in lieu of registration of colours, provided the Owner has registered those colours abroad.
33. Subject to Regulation 34, if any owner runs a horse in colours that are not registered to him, he shall be taken to have contravened a requirement imposed on him by this Regulation, unless the circumstances under which this occurred are acceptable to the Stewards.
34. When an owner has more than one runner in the same race an amendment to their colours must be declared at the time of declaration under Regulation 80 and, if the Judge deems it necessary, an owner may be asked to further amend his colours.
35. Any Owner who has been declared a disqualified person under the Rules of Racing, the Rules of any other Recognised Racing Authority or these Regulations (*See Regulations 103 and 104*), or who has been prohibited from participation in Recognised Meetings under Regulation 2, shall have their registration cancelled immediately.
36. An Owner must register an Authority to Act with ARO where entries and declarations are to be made by a person other than the Owner.

## TRAINERS

37. Unless holding a licence to train (flat or combined) issued by the BHA, trainers of horses shall be registered annually by the ARO Office for the purposes of Horse Racing under these Regulations, subject to such restrictions and conditions as ARO consider necessary, upon the prescribed form signed by the Trainer and on payment of an annual fee for Trainers plus an annual fee per horse and sign a declaration agreeing to be bound by these Regulations currently in force. Any registration made within two weeks of the closing date of entries or supplementary entries may incur an additional fee as set out in Regulation 92. A registered Trainer must be 18 years of age or older. For Owner Trainers who train only horses owned by themselves or their spouses or their cohabitants, there may be an all-inclusive fee for both registrations.
38. A Trainer licensed under the Rules of any Recognised Racing Authority may, at the discretion of ARO, be registered with ARO.
39. Deleted.
40. If a horse changes Trainer during the season the new Trainer must notify the ARO Office, and where appropriate lodge an Authority to Act on the prescribed form, within 7 days, or immediately if the horse has an outstanding entry.
41. When any Sample taken under Rule (H)7 of the BHA Rules of Racing caused an Adverse Analytical Finding, the investigation and any potential disciplinary action shall be dealt with by the BHA under the Rules of Racing.
42. Any Trainer who becomes or has been declared a Disqualified Person under the Rules of Racing, the Rules of any other Recognised Racing Authority, or these Regulations (*see Regulations 103 and 104*) or who has been prohibited from participating in Recognised Meetings under Regulation 2, shall have their registration cancelled immediately.
43. It shall be the duty of the Trainer to check the identity of any horses in their care from the markings and microchip shown in the horse's passport as soon as the passport is received or as soon as reasonably practicable afterwards. A Trainer must report immediately any discrepancies in the markings and/or microchip in the horse's passport to the ARO office.
44. When a horse trained in Great Britain has been gelded the Trainer must notify the ARO Office of that fact. This notification shall be made no later than noon five days before the running of any race in which the horse has been entered to run.
45. A Trainer must notify ARO when a horse in his care is pregnant. This notification must include the last covering date and must be made within 25 days of the last covering, or as soon as the horse enters training whichever is the later. If, after notification, the pregnancy is lost the Trainer must notify ARO as soon as reasonably practicable that it has been lost. Disciplinary Action may not be taken in respect of a contravention of this Regulation if the Trainer satisfies the BHA that he took all reasonable precautions and exercised all due diligence to ascertain whether or not the horse was pregnant.
46. On each occasion that a horse has had Wind Surgery the Trainer must inform ARO of the type of Wind Surgery undertaken. No notification is necessary if the horse has never previously raced. Notification must be made before the horse is declared to run under Regulation 80 and the responsibility for making such notification rests with the Trainer at the time of the declaration. Disciplinary Action may not be taken in respect of a contravention of this Regulation if the Trainer satisfies the BHA that he took all reasonable precautions and exercised all due diligence to ascertain whether or not the horse had had Wind Surgery. In this Regulation Wind Surgery is defined as:-

- (i) Tie back (prosthetic laryngoplasty)
  - (ii) Hobday (venticulectomy/cordectomy)
  - (iii) Epiglottic entrapment surgery
  - (iv) Tie forward (dorsal displacement soft palate surgery)
  - (v) Soft palate cautery.
47. A Trainer must inform ARO when a horse under their care or control undergoes a Neurectomy no later than 7 days after the horse has undergone the Neurectomy and ensure the surgeon endorses the horses' passport. A horse which has been subject to a Neurectomy shall be permanently ineligible to race (see Regulation (viii)). A Neurectomy is defined as a procedure which permanently interferes with a specific and anatomically recognised nerve using surgery, cryosurgery, chemical interference or any other means resulting in desensitization of any part of the limb.
48. A Trainer must inform ARO of the arrival at their yard of each new horse, if he has more than one yard, of any change in location of a horse under their care or control and of any change in ownership of a horse under their care or control. The information must be provided no later than 3 days after such event or, if sooner, before any further race entry is made.
49. On each occasion when a horse trained in Great Britain has run in a race outside Great Britain the Trainer must notify ARO of the details of its racecourse performance. This information must be notified within 48 hours of the horse's return to Great Britain, or, if the horse has an entry for a race in Great Britain as soon as reasonably possible after the horse's return to Great Britain.
50. Where a horse arrives from outside Great Britain or Ireland the Trainer must provide ARO with details of the horse's racecourse performances before the horse is next entered into or declared for a race.
51. Where a horse undergoes surgery for the placement of a suprachoroidal cyclosporine implant, a Trainer must inform ARO within 7 days of surgery with a certificate signed by a Veterinary Surgeon to this effect.

## PART 5

### RIDERS

52. In order to be eligible to ride in a race under these Regulations, a Rider must hold a Licence issued by the BHA or an equivalent licence issued by a Recognised Racing Authority.
53. It shall be a breach of these Regulations for any Jockey to Bet or to lay any horse to lose a race with a Betting Organisation or to instruct any person on their behalf to do so or to receive the whole or any part of the proceeds of such an act, except that an Amateur Jockey may bet on races in which they are not riding.
54. An Apprentice Jockey and an Amateur Jockey is entitled to claim the following riding allowances in Handicap Races, Novice Races and Maiden Races:
- (i) 7lbs until he has won 5 races run under the BHA Regulations for Arabian Horse Racing or races run under the Rules of any Recognised Racing Authority;
  - (ii) 5lbs until he has won 10 such races as detailed in Regulation 54(i) above;
  - (iii) 3lbs until he has won 25 such races as detailed in Regulation 54(i) above.
55. An Apprentice Jockey and an Amateur Jockey shall be entitled to claim the given riding allowance specified in Regulation 54 for three days after the date on which they meet the

maximum number of wins for that allowance. The rider shall be responsible for claiming the correct riding allowance.

56. Any horse ridden in a race in contravention of Regulation 52 shall, on objection, be liable to be disqualified by the BHA.
57. Overseas Riders with a Medical Record Book must lodge it with the Clerk of the Scales at least 45 minutes before the advertised start time for the race. In the event of the Medical Record Book not being available, the rider will be taken to have contravened a requirement imposed on them by this Regulation and will also be required to sign a declaration stating that they hold such a Book and is not subject to a current medical suspension and is in good health. Any rider who is not able to produce their Medical Record Book will be subject to an examination by the Medical Officer to assess their fitness to ride.
58. Riders who have had a fall must report to the Medical Officer in the Weighing Room.
59. A rider who has fallen must be passed fit by the Medical Officer before riding again on the same day.
60. A rider who has been declared unfit to ride by a Medical Officer may not ride in a race for such period as is specified in the declaration and must report to and be passed as fit to ride by the Medical Officer before riding again at any Meeting run under these Regulations, the BHA Regulations for Point-to-Point Steeple Chases or the Rules of any Recognised Racing Authority. If no period is specified, the rider must still be passed as fit to ride by a Medical Officer.
61. Where a Rider sustains a reportable injury since last riding under these Regulations, the BHA Regulations for Point-to-Point Steeple Chases or the BHA Rules of Racing, he must inform the Medical Officer of that fact and must not weigh out or attempt to weigh out until he is passed fit to ride by the Medical Officer on a race day. A reportable injury is:
  - (i) A head injury or concussion
  - (ii) A severe back injury
  - (iii) A fracture or dislocation involving a limb bone or joints
  - (iv) A fracture of the pelvis or spine, or
  - (v) Any other significant injury or significant illness.

## PART 6

### AUTHORISATION AND CONTROL OF MEETINGS

62. The organisation and administration of an authorised race or meeting under these Regulations will be carried out by ARO and approved by the BHA. ARO shall:
  - (i) be responsible for ensuring that the arrangements for the attendance of and facilities required by the general public are satisfactory;
  - (ii) arrange for the publication of an official card of the meeting containing the notices shown in Regulation 68, the conditions of each race, the names of the owners and of the horses and such other particulars as are given in the entries, together with a list of Stewards and Officials;
  - (iii) be responsible for ensuring that the course is properly measured and marked;
  - (iv) ensure that a parade ring is provided;
  - (v) comply with the requirements of these Regulations and Instructions unless and to the extent that they may have been waived by the BHA;



- (vi) take all reasonable steps to ensure that the Clerk of the Course discharges their obligations under these Regulations and Instructions or such requirements of the Regulations and Instructions as are delegated to him by ARO;
  - (vii) ensure that all persons acting in an official capacity at the meeting have access to a current copy of these Regulations;
  - (viii) ensure the medical and veterinary arrangements required under these Regulations and Instructions are provided;
  - (ix) give the BHA within a reasonable time such information as they may demand.
63. ARO shall also through its Office:
- (i) Ensure that a clean number cloth, of a pattern approved by the BHA, is provided for every horse for which a rider presents himself to be weighed out, and
  - (ii) Ensure that a numbered armband or tabard for each horse attendant is provided.
64. Conditions for races will be drawn up by ARO.
65. Prizes shall be decided by ARO.
66. Application for authorisation of Races and Meetings under these Regulations for Arabian Horse Racing, giving the proposed date and place of each Race or Meeting, shall be made by ARO to the BHA annually. A fee, the amount of which the BHA shall from time to time decide in respect of each fixture is payable.

## PART 7

### GENERAL REGULATIONS FOR PROGRAMMES

67. ARO under the authority of the BHA will publish a list of race meetings to be held each year, together with such details as it considers appropriate.
68. The Programme of each meeting shall be published in the ARO Racing Calendar and shall contain:
- (i) A notice to the effect that "This meeting is held under the British Horseracing Authority Regulations for Arabian Horse Racing".
  - (ii) The conditions for every race, and the minimum weights to be carried.
  - (iii) The date and place of the meeting and where necessary that it will be on an All-Weather Track.
  - (iv) The name and address of the Receiver of Entries, together with the date of their closing, and, where applicable, the date for the declaration of forfeit.
69. The names of the Stewards, Clerk of the Course, Clerk of the Scales, Judge, Starter, Handicapper, Veterinary Officer and Medical Officer will be published in the Race Card or publicly displayed on a Notice Board.
70. Any amendment to the race conditions, through omission or error, must be approved by the BHA.

## ENTRIES, DECLARATIONS AND DIVISIONS

71. Entries shall open and close at the times advertised in the Programme and no entry shall be admitted on any ground after that time unless the conditions of the race provide for a supplementary list of entries or in accordance with Regulation 73 below, or where in exceptional circumstances ARO deems it expedient to do so. Where provision is made for a supplementary list of entries such entries shall be closed at the advertised time and no entry shall be admitted on any ground after that time. Entries shall be made by telephone to the ARO Office or email or by such means as may be agreed with the ARO Office. In the case of entries made by email the person making the entry should check acknowledgement of the receipt of the entry to ensure that the transaction has been properly completed.
72. A race may be cancelled at the discretion of ARO at the entry stage unless it is a Group or Listed race. In the event of a race being cancelled by ARO a substitute race, at the discretion of ARO, may replace the race cancelled in the Programme. The conditions of any proposed substitute race will be advertised beforehand on the ARO website.
73. In any race where seven or fewer entries have been received at the ARO Office by the time of entry the said race may be subject to a re-opening entry procedure which shall operate up to 9.15am on the following day or such other time as ARO shall decide. No entry once made as a result of the provisions of this Regulation shall be subject to withdrawal.
74. Entries and declarations will only be accepted from Owners registered in accordance with the requirements of Regulations 25 to 30 or shall be made in the name of the Owner(s) or the registered partnership or company name by the Trainer in accordance with Regulation 36.
75. Each entry shall state the name of the horse, the names of its Owner and its Trainer both of whom must be registered in accordance with the requirements of Regulations 25 to 30 and 37 respectively.
76. In the case of horses from abroad, each entry shall state the name of the horse, its age, sex, colour, sire and dam and the name of the country in whose Stud Book the horse is registered together with the Stud Book Volume and page number of its registration, name of owner(s) and colours, and must be accompanied by an RCN (see Regulation 23).
77. Entries are accepted on the basis that a horse must be qualified for a given race at the closing date of entries, unless otherwise specified. It is the duty of the person making an entry to check, and, if necessary, to prove to the satisfaction of ARO that the horse is qualified to be entered and run. In the event of an entry being made for a horse which is subsequently proved to be not qualified for the race in question the entry fee will be forfeit to ARO.
78. No horse shall be entered in the ownership or name of a Disqualified Person and if, after the time of entry, the Owner becomes a Disqualified Person the entry for that horse shall be void and the entry fee will be forfeit to ARO, unless the BHA or ARO shall otherwise decide.

## TRANSFER OF ENGAGEMENTS

79. Where the ownership of a horse changes, any engagements it may have will only be transferred to the new owner on receipt by the ARO Office of the written acknowledgement of both parties that such engagements are to be so transferred.

## **DECLARATIONS, DIVISIONS, BALLOTS, RESERVES AND THE DRAW**

80. In every race there shall be a declaration of runners by 10:00am, 48 hours before the race, or such other period, before the race as published in the conditions of entry. A horse may only be declared to run under this Regulation for one race at any meeting.
81. Every declaration of a runner must be lodged by telephone or online or by such means as may be agreed with the ARO Office within the dates and times fixed for declarations in the conditions of the race unless the provisions of Regulation 84 apply.
82. All declarations made under Regulation 80 shall state the name of the horse, the name of the Rider, the name of the Meeting and the number of the race and any headgear the horse will wear and if a tongue strap is to be worn. In the case of declarations made by email the person making the declaration should check acknowledgement of the receipt of the declaration to ensure that the transaction has been properly completed. (Note: A declared Rider may be substituted if the requirements in Appendix 39 are satisfied).
83. If a horse, declared in accordance with Regulation 80 and not subsequently eliminated, does not run, the Trainer will be liable to a fine, unless he can satisfy the Stewards by the production of a veterinary certificate or he has self-certified the horse as a non-runner specifying the reason for it not running or by any other means that factors beyond their control prevented the horse taking part in the race. If a Trainer, or their representative, considers that the reason for the horse not running was due to the state of the going or other circumstances outside their control he is entitled to request the Stewards to waive the fine. If the Stewards are satisfied with the explanation they may waive the fine (see Appendix 26 for satisfactory reasons). When a Veterinary Certificate is submitted it must clearly state the time and date of the veterinary examination and the condition which renders the horse unfit and it must be signed by a Veterinary Surgeon registered in Great Britain who is at the time neither the owner, nor the trainer, of the horse (see Appendix 26). No fees including any entry or declarations fees are refundable where a horse has been made a non-runner.
84. In any race where five or less declarations to run are received by the ARO Office by the time for declaration to run the said time for a declaration to be made shall be reopened until 11:00am on the same day, or such other time as ARO shall decide. No such declaration shall be subject to withdrawal once made during this extended time period. If a horse is already declared to run in another race on the day in question any declaration made under these provisions for the same horse will be deemed invalid. Where fewer than five declarations to run have been received by the ARO Office after the reopening time in this Regulation the race may be cancelled at the discretion of ARO but this provision will not apply to any Group or Listed race or to more than one race at any race meeting.
85. If at the time fixed for making declarations under Regulation 80 the number of engaged horses exceeds the Safety Factor in any race, either that race will be divided and/or the number of runners in it in excess of the Safety Factor will be eliminated by ballot. ARO shall announce whether division or reduction of numbers by ballot or a combination of these shall take place.
86. Where it has been decided that races will be divided:
- (i) ARO will announce the maximum permissible number of divisions of any one race and the maximum number of declarations to necessitate such division; declarations will be reduced to the Safety Factor by ballot in each division;
  - (ii) ARO will announce the maximum number of races to be divided at a meeting. Where this necessitates the reduction of the number of runners in excess of the Safety Factor in any race at that meeting by elimination of horses by ballot, it will endeavour to ensure that no race with similar conditions and of similar distance will be so reduced at two consecutive meetings.

- (iii) In races other than Handicaps runners will be split between the divisions so that wherever possible, where an owner or trainer has two or more runners in a race, ARO will endeavour to split such horses between the divisions.
- (iv) Where it has been decided that a Handicap Race shall be divided, the runners will be ordered by weight and allocated to alternating divisions, and thereafter wherever possible where an owner or trainer has two or more runners in a race, ARO will endeavour to split such horses between the divisions.
87. Where it has been decided that races will not be divided and at the time for declaration under Regulation 80 the number of horses declared to run in the race exceeds the Safety Factor the number of runners will be reduced to the number permitted by the Safety Factor. Where declarations are to be reduced by elimination or ballot, it shall be in accordance with the procedure laid down in APPENDIX 20.
88. A maximum of 2 reserves may be allocated for any race at the discretion of ARO. Such a reserve may take the place of a horse previously declared under Regulation 80 up to not less than three-quarters of an hour before the time fixed for the race and must comply with the provisions of Rules (E)7 and (E)15 of the BHA Rules of Racing. Subject to the discretion of ARO, a reserve will be treated in all respects the same as any declared runner and the owner will be liable for all entry and race day fees.
89. Subsequent to the operation of Regulations 80 to 88 the draw allotting positions at the start shall be made at the ARO Office.
90. Once the draw has been made under Regulation 89 no alteration shall be made except that by express permission of the Stewards the number of a horse may be added where that horse has been duly entered and declared and not withdrawn or eliminated but has been omitted from the list of runners. Where the addition results in the elimination of another horse, the added horse shall take the number and draw position of the eliminated horse; but where no elimination is necessary the original draw shall stand and the horse which has been added shall be given the highest number.
91. Where a reserve horse is to run, that horse shall take the draw position of the horse that it is replacing.

## PART 9

### FEES AND FORFEIT LIST

92. A fee is due to be paid in respect of every registration made under these Regulations. An administration charge, to be determined by and made at the discretion of ARO, will be due in respect of any registration which is registered less than two weeks before close of entries. An additional administration charge, to be determined by and made at the discretion of ARO, will be due in respect of any registration made within three working days of the close of entries for any meeting.
93. The person(s) in whose name(s) as owner(s) a horse is entered is/are liable for the entry fee due under the conditions of the race, and for the declaration fee, and where a horse is owned by a partnership the partners shall be jointly and severally liable. A failure to pay entry fees or any fine or deposit within what ARO deems to be a reasonable time may cause the relevant account to be suspended and entries in respect of that account unable to be made. In addition, ARO may cancel the registration of such person under Regulation 1(xiii).
94. No entry fee or stake is payable in respect of horses entered for races which are never run or void or where the said horse is eliminated under the provisions of Appendix 20. All payments made prior to a race in such circumstances shall be returned. All fees, including entry fees, and all fines and deposits forfeited shall be paid to ARO unless otherwise stated in these Regulations and will be published in the ARO Racing Calendar.

95. ARO may keep a record of all Arrears due to it (the Forfeit List) and authorise the publication of the Forfeit List. The Forfeit List may include the sums due, the name or names of the Person or Persons from whom the sums are due and such other information as ARO may consider appropriate. The Forfeit List is available on the ARO website. A Person's name may be removed from the Forfeit List only on payment of any published Arrears direct to the ARO Office. Where a Person's name appears on the Forfeit List, **or** any equivalent list published by a Recognised Racing Authority, that Person becomes a Disqualified Person.

## **PART 10**

### **WEIGHING OUT AND EQUIPMENT**

To be run under BHA Rules of Racing.

## **PART 11**

### **THE RACE**

To be run under BHA Rules of Racing.

## **PART 12**

### **WEIGHING IN**

To be run under BHA Rules of Racing.

## **PART 13**

### **DISPUTES, OBJECTIONS AND APPEALS**

To be run under BHA Rules of Racing.

## **PART 14**

### **DISQUALIFICATION OF HORSES**

96. Any horse which has been the subject of fraudulent practice may, at the discretion of the BHA, be disqualified for such time and for such races as they shall determine.
97. A horse is not qualified to be entered or to start for any race:
- (i) If it has run at any Meeting other than one under the BHA Regulations for Arabian Horse Racing or under the Rules of any Recognised Racing Authority;
  - (ii) If and so long as it is in the ownership or part ownership of a Disqualified Person, or so long as any Disqualified Person has any interest in such horse's winnings in such race;

- (iii) If and so long as it is in the stable of, or under the care and management of, a Disqualified Person;
- (iv) In any case in which it is by these Regulations or by the conditions of the race not qualified or ineligible (it is the Trainer's responsibility to ensure a horse is qualified or not ineligible);
- (v) If it has been declared disqualified or suspended by the BHA or by any Recognised Racing Authority;
- (vi) If it is ineligible in accordance with the conditions laid down in Regulations 15 to 18;
- (vii) If it is ineligible as a result of a failure to comply with Regulation 93;
- (viii) If it has been bred by embryo transfer and a declaration to that effect has not been made at the time of entry to the ARO Office.
- (ix) (if trained in Great Britain) If it has not been signed out of the human food chain in Section IX of its passport.

98. A horse is not qualified to start for a race:

- (i) Unless it is duly entered for the same;
- (ii) Unless it has been declared a runner under Regulation 80;
- (iii) If it has been tubed;
- (iv) If it has an implant other than one which is pharmacologically inactive (this Regulation does not apply where the implant is suprachoroidal cyclosporine implant for the treatment of immune mediated corneal disease or autoimmune uveitis in specific, documented and monitored cases);
- (v) If it has not been vaccinated in accordance with the requirements of Regulation 19, or if the details of such vaccination are not correctly recorded on its Passport at least 45 minutes before the advertised start time of the race, unless the Stewards direct otherwise;
- (vi) If the Passport has not been produced 45 minutes before the advertised time of the race, unless the Trainer has confirmed in writing that he holds the Passport and that the horse is correctly vaccinated by the time fixed for weighing out under Rule (E)17 of the BHA Rules of Racing;
- (vii) If it has not been vaccinated at all or if it has received any vaccination against equine influenza on Raceday, or on any of the 6 days before Raceday;
- (viii) If it has been the subject of a neurectomy operation;
- (ix) If it has been the subject of a laparoscopic sterilisation on or after April 1<sup>st</sup>, 2010;
- (x) If its rider has not weighed out in accordance with Rule (E)15 of the BHA Rules of Racing;
- (xi) If it has received a blood transfusion for the purpose of enhancing its performance in that race;
- (xii) If it has already run in a race which was run on the same day (apart from a walkover);
- (xiii) If it is more than 120 days pregnant;

- (xiv) If its heritable genome has been modified;
- (xv) If it has been administered any intra-articular corticosteroid on Raceday or on any of the 14 days before Raceday;
- (xvi) any bisphosphonate\* before the horse is aged four years (as of 01 January of the horse's year of birth) or any bisphosphonate on Race day or any of the 30 clear days before.

**[\\*See BHA Notice: Bisphosphonates](#)**

- (xvii) If it has been administered extracorporeal shockwave therapy on Raceday or on any of the 5 days before Raceday.
99. Where a horse has been the subject of an examination under Rule (H)7 of the BHA Rules of Racing and the Sample returns an Adverse Analytical Finding, the horse shall be disqualified for the race in question and may at the discretion of the BHA be disqualified for such time and such races subsequent to the examination in question as they shall determine. For the purpose of this Regulation an Adverse Analytical Finding is as defined in the BHA Rules of Racing.
  100. Where a horse has carried less than the weight it should have carried in the race, the horse shall be disqualified for the race in question.
  101. If a horse which is not qualified according to Regulations 96 to 100 is entered for or run in any race, it shall on an objection be disqualified by the BHA. Disqualification of a horse under Regulations 96 to 100 and any resultant alteration of placing's will take effect from 12.01 a.m. on the day after the disqualification is ordered.

**PART 15**

**PROHIBITED PRACTICES AND DISQUALIFICATION OF PERSON**

102. Any person who administers or attempts to administer or allows or causes to be administered or connives at the administration to a horse of a Prohibited Substance or Prohibited Method shall be guilty of a breach of these Regulations and may be declared a Disqualified Person or otherwise penalised by the BHA under Regulation 2 of these Regulations.
103. Any person may be declared a Disqualified Person or otherwise penalised by the BHA in accordance with their powers under Regulation 2 who:
  - (i) Gives or offers, or promises directly or indirectly any bribe in any form to any person having official duties in relation to a race or Racehorse, or to any owner, trainer, rider, representative, or other person having charge of, or access to, any Racehorse; or
  - (ii) Being a person having official duties in relation to a race, or being an owner, trainer, rider, representative or other person having charge of, or access to, any Racehorse, accepts or offers to accept any bribe in any form; or
  - (iii) Wilfully enters or causes to be entered for any race or causes to start in any race a horse which he knows or believes to be not qualified; or
  - (iv) Is guilty of or conspires with any other person for the commission of, or connives at any other person being guilty of, any corrupt or fraudulent practice in relation to racing in this or any other country; or
  - (v) Is convicted of any criminal offence in relation to racing in this or any other country; or

- (vi) Gives or allows or causes a blood transfusion to be given to a horse for the purpose of enhancing its performance in a race;

and any such act shall constitute a breach of these Regulations.

104. Any person on whom disqualification has been imposed by any Recognised Racing Authority is a Disqualified Person under these Regulations so long as the disqualification continues unless the BHA declare that the disqualification shall not have effect under these Regulations. An application to the BHA for such a declaration may be made under Rules (L)40 to (L)46 of the BHA Rules of Racing.
105. No Person may communicate Inside Information to any other person unless permitted to do so under these Regulations:
- (i) A Person can communicate Inside Information to a Person who owns, trains or is a service provider or Stable Employee responsible for or engaged in the care and welfare of that horse, except where the Person communicating the Inside Information knows or has reason to know that the Inside Information shall be used for prohibited Betting and/or lay Betting.
  - (ii) For the purpose of making Inside Information public, a Person can communicate Inside Information to the General Public directly, an accredited journalist for the purposes of publishing the Inside Information to the General Public, or at a Public Event, provided that the person communicating the Inside Information is paid no more than a reasonable fee for attending the event.
106. Any person who owns, trains or rides a horse at a meeting which is not a Recognised Meeting in Great Britain or Ireland or any person who acts in any official capacity in connection with such a meeting, is liable to be declared a Disqualified Person for a period of twelve months or such lesser time as the BHA shall think fit, but this Regulation shall not apply to:
- (i) Pony races, or
  - (ii) Any other specific event comprising or including a horserace or horseraces in respect of which the BHA have granted an exemption. No application of exemption will be considered unless it is submitted in writing to the BHA Office at least two months before the event is due to take place.
107. Any person who offers or promises any reward, either by way of fee, present, expenses, or any consideration whatsoever to an Amateur Jockey for riding in a race, or any Amateur Jockey who solicits accepts or agrees to accept such reward is liable to be made a Disqualified Person by the BHA.

## **PART 16**

# **MISCELLANEOUS**

## **GENERAL**

108. No person shall aid or abet the commission of any breach of these Regulations.
109. No person shall act in any violent or improper manner on any land or premises used by the Stewards of any meeting or licensed by the BHA.
110. No person shall act in a manner which, in the opinion of the BHA, is prejudicial to the integrity, proper conduct or good reputation of horseracing in Great Britain, whether or not such conduct shall constitute a breach of any of the foregoing Regulations.



111. No person shall without the permission of the BHA associate in connection with horseracing in Great Britain with any person known to be disqualified or excluded by the BHA whether or not constituting a breach of these Regulations.
112. It shall be an offence deliberately to mislead or by any overt act endeavour to mislead the Stewards or the BHA or an official of the BHA or an official appointed by ARO, either at an enquiry or in connection with the administration or control of Arabian Horse Racing.
113. It shall be an offence by any person in relation to the administration and control of Arabian Horse Racing to:
  - (i) breach any declaration or undertaking given to the BHA or ARO, or
  - (ii) provide any inaccurate information, or,
  - (iii) omit any relevant information when requested for it, or
  - (iv) fail to comply with a requirement of BHA or ARO unless in exceptional circumstances the person concerned is able to satisfy the BHA or ARO that he had a satisfactory reason for doing so.

#### **APPLICATION OF REGULATIONS, INSTRUCTIONS AND APPENDICES**

114. These Regulations apply to all persons who have agreed to be bound by these Regulations and the overseas owners, riders and trainers of horses trained outside Great Britain and which are entered to run under these Regulations are subject to these Regulations and are deemed to have knowledge of them howsoever amended.
115. A person who has agreed to be bound by these Regulations shall have no right under the Contracts (Rights of Third Parties) Act 1999 to enforce any provision of the Regulations against any other person from time to time bound by the Regulations.
116. Every person shall comply with every Instruction issued by ARO and duly published or any instruction issued by the BHA including Instructions issued in the Appendices to these Regulations. Each Person must also comply with any instructions given by the Stewards of a meeting or any request requiring the permission of the Stewards.
117. Neither ARO nor its employees or agents shall be liable to any Person for any act done or omission made in the bona fide discharge or purported discharge of any duties on the part of any such employee or agent under or pursuant to these Regulations. Nothing in these Regulations shall exclude liability for death or personal injury resulting from negligence.

#### **EXPRESSIONS**

118. Words importing the masculine gender shall include all other genders and the singular shall include the plural and vice versa.

#### **PRIZE MONEY**

119. Prize money shall be distributed in accordance with the conditions of the race.
120. Where all prize money placing's are not filled, the prize money for the unfilled placing's will not be paid.

## HANDICAP RACES

121. In making a Handicap in relation to a horse for the purposes of a Handicap race, the Handicapper shall allot the weight to be carried by the horse in accordance with the Handicap rating of the horse. The weights for all Handicaps will be allotted after the close of entry, unless the race conditions otherwise provide, and subsequently distributed by ARO to trainers. No alteration shall be made to a weight after such distribution except that a correction may be made when, through an administrative error, an incorrect weight has been distributed. Such alteration may only be made up to the day immediately prior to the declaration of runners under Regulation 80. Horses trained in Great Britain who have raced abroad may, at the discretion of the Handicapper, have that form taken into consideration in respect of deriving a Handicap Rating in accordance with the provisions of Regulations 123 and 124.
122. Irrespective of any other provisions, the Handicapper may decline to allot a horse a Handicap Rating for a Handicap Race if, in their opinion, he does not have sufficient information on which to allot a Handicap Rating.
123. **Deleted**
124. No horse shall run in a Handicap Race unless, up to and including the Sunday previous to closing:
- (i) **it has finished 2<sup>nd</sup>, 3<sup>rd</sup>, 4<sup>th</sup> or 5<sup>th</sup> in a Race** run under any Rules or Regulations recognised by the International Federation of Horseracing Authorities for Arabian Horse Racing, or
  - (ii) it has won a Race under these Regulations or **Regulations recognised by the International Federation of Horseracing Authorities for Arabian Horse Racing.**
125. Subject to the application of Regulation 126, the top weight in Handicaps shall be no more than 10st 4lb and the bottom weight not less than 8st 2lb, or as ARO otherwise decides.
126. In all Handicap Races if, after the time for declaration under Regulation 80, the highest weight declared to run is less than the highest weight as set out in the conditions of the Race, or where no such weight is stipulated is less than the top weight as laid down in Regulation 125, the ARO Office shall raise the weights to the relevant highest weight and all other declared runners equally. For the avoidance of doubt this Regulation will apply whenever a Handicap Race is divided under Regulation 86.
127. In all Handicap Races, the Handicapper may frame the Handicap down to weights below the bottom weight permitted in the race conditions but if, after the time for declarations under Regulation 80 and the operation if necessary of Regulation 126, the weight of any horse including penalties is then below the permitted bottom weight then such weight shall be raised to the permitted bottom weight.
128. When a horse wins a Race:
- (i) after the Time of Entry laid down in the conditions of the Race; or
  - (ii) before the Time of Entry but after the provisions of Regulation 133 take effect,
- such horse shall incur a standard penalty of 6lb for each Race won.
129. Whenever a penalty is incurred it shall then be added to the final weights as increased under Regulation 126 or, if no such increase was made, to the original weights. This penalty may, if necessary, increase a weight above the top weight as stipulated in Regulation 125 except that no such increase shall cause any horse to have a cumulative weight of more than 11st in a Handicap Race.

130. Handicap Ratings will not be allocated to three-year-old horses before 1<sup>st</sup> July.
131. For the purpose of determining whether a horse has run in the number of races required by Regulations 123 and 124, no account is to be taken of:
- (i) Any walk-over,
  - (ii) Any race where the horse does not start or finish;
  - (iii) Any race where disciplinary action was taken against the rider under Rule (F)37 of the BHA Rules of Racing (failure to obtain the best possible placing) and the Handicapper decides that no account is to be taken of such a run. Where any appeal against disciplinary action is successful the run of the horse in respect of which such action was taken may once again be taken into account for the purposes of Regulations 123 and 124 but only with effect from the date of the appeal decision.

#### **CONDITIONS RACES AND CLASSIFIED RACES**

132. Weights to be carried in Conditions and Classified races will be as determined in the conditions of the Race. Penalties as laid down in the conditions of the Race must be carried in the Race. No horse shall be eligible to run in a Conditions or Classified Race which has specified conditions on entry related to rating bands unless it has been allocated a Handicap Rating under Regulation 123 by the time of entry.

#### **APPLICATION OF HANDICAP RATINGS**

133. Handicap ratings shall be published on the ARO website. For the purpose of determining whether a horse qualifies for a race, a Handicap Rating which is published on a Tuesday of a particular week shall, unless ARO directs otherwise, apply for races closing on or after that Tuesday until such time that a new Handicap Rating is allocated and published. For the avoidance of doubt the provisions of Regulation 94 will apply in all cases where, as a result of this Regulation, a horse is subsequently not qualified in accordance with the conditions of the race at the time of entry.

#### **MARES ALLOWANCES**

134. Fillies and mares shall receive the following allowances other than in any Handicap Races:
- (i) All Group Races, Listed Races, Conditions Races, Novice Races and Maiden Races – 4lbs.

## APPENDIX 1

### LIST OF PROHIBITED SUBSTANCES AND METHODS

See Equine Prohibited List Code of the BHA Rules of Racing.

## APPENDIX 2

### COUNTER ANALYSIS OF POSITIVE SAMPLES

See Chapter K of the BHA Rules of Racing.

## APPENDIX 3

### CONTAGIOUS AND COMMUNICABLE DISEASES

#### Contagious Diseases

Trainers are warned that under no circumstances should they bring to racecourses horses affected with ringworm or any other contagious disease. If visible evidence of skin disease still exists a Trainer should not take the horse on to racecourse premises without first obtaining a certificate of non-contagiousness from a Veterinary Surgeon who is unrelated to and independent of the Owner of the horse, the Trainer of the horse and any Person who is employed by the Trainer stating that, following appropriate examination of the horse, he is satisfied that the condition is not now contagious to other horses. The certification must be completed using the prescribed form and must be available on request, as evidence to the Stewards.

Stewards will exercise fully their powers under Rule (H)7 of the BHA Rules of Racing where a horse is found to have been brought onto a racecourse when in the opinion of the Veterinary Officer it appears to be suffering from a contagious disease and no certificate of non-contagiousness is produced.

However, if a Trainer decides not to run their horse, Stewards will take this into account when deciding upon the level of penalty, and also order that the fixed penalty for not running should be waived.

Skin scrapings may be taken from any horse which appears to be affected with any form of ringworm and if the culture of these scrapings subsequently proves positive, Disciplinary action may be taken.

Trainers are required to comply with the current Bio-Security protocols in place at any racecourse where meetings are held. These protocols will be communicated by ARO to Trainers as and when necessary.

#### Duty to Report Communicable Diseases

Where a Trainer has been informed by a Veterinary Surgeon, or should otherwise be aware, that any horse under their control is likely to be or is infected with

- (a) diseases covered by the Horserace Betting Levy Board Codes of Practice on Equine Diseases (see [www.hblb.org.uk](http://www.hblb.org.uk)) apart from artificial insemination;
- (b) any notifiable disease that can affect horses as named in section 88 of the Animal Health Act 1981 and the Diseases subject to the infectious Diseases of Horses Order 1987;
- (c) diseases subject to the Specified Type Equine Exotic Diseases,
- (d) any disease added to the Communicable Diseases List on the BHA website, or
- (e) Equine Influenza

the Trainer must observe any statutory requirements and also immediately make a report to the ARO Office, and not remove, or allow to be removed, any horse under their care or control from the premises until directed otherwise by ARO.

Before giving any such direction ARO may obtain the advice of a Veterinary Officer, which is to be given in consultation with the Trainer's Veterinary Surgeon.

#### **APPENDIX 4A**

### **WEIGHING OUT**

See Chapter E of the BHA Rules of Racing.

#### **APPENDIX 4B**

### **SADDLING**

Rules (E)28, (E)29, (E)30 and paragraph 1 of the Starting Procedures Code of the BHA Rules of Racing apply.

#### **APPENDIX 5**

### **SKULL CAPS**

See Equipment Code of the BHA Rules of Racing.

#### **APPENDIX 6**

### **SAFETY VESTS**

See Equipment Code of the BHA Rules of Racing.

#### **APPENDIX 7**

### **PARADE RING**

No horse will be allowed to leave the Parade Ring to proceed to the start before the signal to mount is given, unless the Owner or Trainer first obtains the permission of the Stewards. The BHA give the following ruling on the procedure to be adopted when a horse is unavoidably delayed in the Parade Ring and cannot leave to go to the start in company with the other horses. On these occasions those horses which have not already left for the start shall be allowed to remain in the Parade Ring until the horse concerned is ready to go down to the start with them. This does not prevent Stewards from giving special instructions in cases of emergency.

Horses must be paraded by the time indicated on the timetable in the weighing room, and before the signal to mount. Armbands must be worn if a paddock sheet is obscuring the horse's number cloth. Armbands are available from the weighing room.

#### **APPENDIX 8**

### **ADVERTISING IN THE PARADE RING**

No sheet or rug used in the Parade Ring on any racecourse may bear the name or initials of the Owner or Trainer in letters larger than 4.5 inches high, nor any motif or logo applicable to the owner or trainer more than 64 square inches, and that these must only appear on the corners of the sheet or rug nearest to the hindquarters of the horse. No other form of advertisement will be permitted on the

horse, its equipment or attendants except for a sponsor approved by ARO or for advertisements for the sponsor in their sponsored races. No rider may carry any form of advertising material on any part of their clothing or equipment in the parade ring or while riding in a race except for a sponsor approved by ARO. An approved sponsor must be registered with ARO on payment of a fee as determined from time to time by ARO, or registered with the BHA.

#### **APPENDIX 9**

### **TYING DOWN OF HORSES' TONGUES**

On every occasion when a horse is to run with its tongue tied, the Trainer is responsible for showing the horse with its tongue tied to the Veterinary Officer on duty on the racecourse before it is allowed to proceed to the Start. Disciplinary action will be taken against any Trainer who fails to comply with this Appendix on each occasion that their horse runs with its tongue tied.

Veterinary Officers will take note of the biting of every horse brought into the Parade Ring and only bits which meet with the approval of a Veterinary Officer will be permitted. For the avoidance of doubt horses are not permitted to run in bitless bridles.

See Equipment Code of the BHA Rules of Racing.

#### **APPENDIX 10**

### **THE START**

Rules (F)6, (F)7 and the Starting Procedures Code apply.

#### **APPENDIX 11**

### **USE OF SPECTACLES AND CONTACT LENSES**

See Equipment Code of the BHA Rules of Racing.

#### **APPENDIX 12**

### **WEARING OF EARRINGS**

In the interests of safety the BHA recommends that no person shall ride under these Regulations wearing earrings.

#### **APPENDIX 13**

### **IMPROPER USE OF THE WHIP**

See Guide to Procedures and Penalties – Whip.

#### **APPENDIX 14**

### **RIDERS – ACCIDENTS / MEDICAL RECORD BOOKS**

Rules (B)31 and (F)33 of the BHA Rules of Racing apply.

#### **APPENDIX 15**

### **SCHOOLING IN PUBLIC**

Deleted.

#### APPENDIX 16

### LAME HORSES

Deleted.

#### APPENDIX 17

### RIDERS OVERTAKING ON THE INSIDE

See Guide to Procedures and Penalties – Interference – Overtaking on the inside

#### APPENDIX 18

### MOVEMENT OF INJURED HORSES

Rule (D)15 of the BHA Rules of Racing applies.

#### APPENDIX 19

### PROCEDURES PRIOR TO WEIGHING IN

Rules (G)9, (G)10, (G)11 of the BHA Rules of Racing apply.

#### APPENDIX 20

### ELIMINATING OR BALLOTING PROCEDURES

ARO instruct that in accordance with Regulation 87 the following procedures for elimination or balloting will apply as stated below:

#### GROUP, LISTED, CONDITIONS, CHAMPIONSHIP AND CLASSIFIED RACES

Elimination will occur in the following sequence:

1. Horses that have not run in a race;
2. Horses with the lowest Handicap Rating or, in the case of any horse that does not qualify for a Handicap Rating, lowest assessment by the Handicapper;

#### HANDICAP RACES

Elimination will occur in the following sequence:

1. Horses rated 2lb above the top of the rating band for the race.
2. Horses rated 1lb above the top of the rating band for the race.
3. Horses with the lowest weights (once penalties have been added) commencing at the bottom of the list;
4. Where horses have identical Handicap Ratings, any horse that has incurred a penalty will be eliminated first (starting from the highest penalty), followed by any horse that has not incurred a penalty;

**Note:** All Handicaps will be published in Handicap weight order.

#### MAIDEN RACES

Protection from elimination will operate in the following order:

1. Horses that have run in no more than two races and have been placed in the first four at least once in those races;
2. Horses that have not run in a race;
3. Horses that have run in no more than two races;
4. Horses that have the highest Handicap Rating under these Regulations;
5. Horses with the least number of unplaced runs in races.

## **NOVICE RACES**

Protection from elimination will operate in the following order:

1. Horses that have run in no more than two races and have been placed in the first four at least once in those races;
2. Horses that have not run in a race;
3. Horses that have run in no more than two races;
4. Horses that have run in three races and have not been placed in the first four in any of those races;
5. Horses that have won a race;
6. Horses that have the highest Handicap Rating under these Regulations;
7. Horses with the fewest unplaced runs in races.

## **NOTES APPLYING TO APPENDIX 20**

**Note:** Priority will be given to those horses with the greater number of placing's.

**Note:** Where horses have identical performances or Handicap Ratings, horses previously eliminated during the current year from a race run under these Regulations that is not a Handicap Race shall be protected from elimination first, and priority shall be given to the horse with the greatest number of such eliminations.

**Note:** The time for the above criteria applying will be up to and including the **Saturday** previous to closing. If the race has a supplementary entry stage the Saturday previous to closing will mean the Saturday previous to the closing of the supplementary entry stage.

**Note:** Random balloting will apply where horses have identical criteria within each category.

**Note:** Race means any race, except a Jump Race, run under the BHA Regulations for Arabian Horse Racing or under the Rules of any Recognised Racing Authority.

## **APPENDIX 21**

### **MEDICAL ARRANGEMENTS**

Deleted.

## **APPENDIX 22**

### **THE GUIDELINES FOR DISCIPLINARY ENQUIRIES**

The provisions applying to any enquiry before a Disciplinary Panel of the BHA are to be found in the Judicial Panels Code of the BHA Rules of Racing ([rules.britishhorseracing.com](http://rules.britishhorseracing.com)).

## **APPENDIX 23**

### **HUMANE DESTRUCTION OF HORSES**

Rules (D)16 and (D)17 of the Rules of Racing apply.



#### APPENDIX 24

### STALLS TESTS

The Stalls Test Code of the Rules of Racing applies. Penalties will be those set out in Appendix 27.

#### APPENDIX 25

### VETERINARY ARRANGEMENTS

Deleted.

#### APPENDIX 26

### NON RUNNERS

Reasons for Stewards waiving the fine for non-runners can be found at paragraphs 44 to 51 of the Race Entry Code of the BHA Rules of Racing.

#### Veterinary Certificates

In circumstances where a horse is made a non-runner on veterinary grounds, ARO will suspend the horse from running in future races in Great Britain for the period of 3 days starting with the day of the race for which the horse was a non-runner.

All Veterinary Certificates relating to non-runners must comply with paragraph 49 of the Race Entry Code of the BHA Rules of Racing, and must be received within 7 working days of the race by the ARO Office.

#### Self Certificates

In circumstances where a horse is made a non-runner with the reason 'self-certificate', ARO will suspend the horse from running in future races in Great Britain for the period of 7 days starting with the day of the race for which the horse was a non-runner.

## APPENDIX 27

### FINES AND PENALTIES

Any fines received at a race meeting must be paid to the ARO Office within 5 days by ARO-registered Trainers, or will be charged to the Trainer's Weatherbys account for BHA-licensed trainers. Subject to the hearing of an appeal, failure to pay may result in a Trainer being barred from entering or declaring horses. The penalties imposed will be those for the equivalent Rule under the Rules of Racing. The table below is intended as a reference guide only.

	Regulation	Penalty in Rules of Racing
Colours not registered	31	<a href="#">Rule (C)19</a>
Colours other than those registered	33	<a href="#">Rule (C)22</a>
Declaration of horse not qualified to start	77	<a href="#">Rule (E)1</a>
Not declaring change of weight or colours		<a href="#">Rule (E)7</a>
Not declaring Substitute rider		<a href="#">para 66, REC</a>
Declared horse a non-runner without vet certificate		<a href="#">para 40, REC</a>
Failure to comply with instructions of Stewards	108	<a href="#">Rule (H)1</a>
Failure to identify horse	43	<a href="#">Rule (D)7/Rule (D)8</a>
Failure to make a notification to ARO	43 - 51	<a href="#">Rules (D)28 - (D)32</a>
Failure to use racecourse stables	App 34	<a href="#">Rule (E)8</a>
Horse not running on its merits or achieving best possible placing		<a href="#">Section 2 of Guide to Procedures and Penalties</a>
Improper conduct/behaviour of persons at race meeting	108, 109	<a href="#">Rule (J)20</a>
Late in Parade Ring – horses		<a href="#">Rule (E)29</a>
Misleading Stewards, et al	112	<a href="#">Rule (J)24</a>
Number cloth not carried, or incorrect		<a href="#">Rule (E)28.1</a>
Numbered armband not worn or incorrect		<a href="#">Rule (E)28.3</a>
Passport not available	19	<a href="#">Rule (D)6</a>
Preventing horse winning or being placed		<a href="#">Section 2 of Guide to Procedures and Penalties</a>
Reins and other equipment incorrect (including uneven cheekpieces and other incorrect headgear)		<a href="#">Equipment Code</a>
Ringworm/contagious diseases	App 3	<a href="#">Rule (E)12/Rule (E)13</a>
Saddlery – not properly fitted (Trainer)		<a href="#">Rule (E)30</a>
Schooling in public		<a href="#">Section 2 of Guide to Procedures and Penalties</a>
Shoeing incorrect		<a href="#">para 15 &amp; 16, EC</a>
Stalls test failures		<a href="#">Para 12 – 15 STC</a>
Unruly behaviour of horse		<a href="#">Rule (F)17/Rule (F)18</a>
Vaccination of horses – alteration	19	<a href="#">Vaccinations Code</a>
Vaccination of horses – lack of	19	<a href="#">Vaccinations Code</a>
Vaccination of horses – incorrect	19	<a href="#">Vaccinations Code</a>
Violent or prejudicial behaviour	109, 110	<a href="#">Rules (J)19 – (J)28</a>

## APPENDIX 28

### EPILEPSY AND DIABETES

Deleted.

## APPENDIX 29

### LIP CHAINS

The BHA give notice that lip chains may not be worn by horses on the racecourse unless approval has been obtained from a Veterinary Officer. Furthermore the BHA have instructed that only those lip chains that are lightweight and preferably covered with leather or rubber will be allowed. The lip chain must be used by an experienced handler in a sensitive manner and Stewards have been requested to take disciplinary action against any person who uses a lip chain to the contrary. See Equipment Code of the BHA Rules of Racing.

## APPENDIX 30

### NASAL DILATORS OR SUPPORTS

See Equipment Code of the Rules of Racing.

## APPENDIX 31

### FOREIGN PERFORMANCES

See Regulations 49 and 50.

## APPENDIX 32

### EXAMINATION OF PREVIOUS RUNS FOLLOWING AN IMPROVED PERFORMANCE AND PERFORMANCES OF HORSES

Whilst there will be no departure from the current practice of Stewards enquiring on Raceday when a horse appears not to have been run on its merits, it is not always until improvement is revealed that there is sufficient evidence to warrant an enquiry. As a result, if in the opinion of ARO and the Handicapper, after reviewing a video recording of the relevant races, they consider there is marked improvement in a horse's performance, the matter will be referred to the BHA Office for consideration of an enquiry under Rules (F)37 – (F)41 of the BHA Rules of Racing and those involved will be informed of such referral.

Trainers may be approached by a Steward following the performance of their horse to ask if they are able to account for its running. The Trainer's reply may be publicised and/or a Stewards' enquiry may be held. Failure by the Trainer to disclose such information will result in a report being submitted to the BHA. This procedure in no way precludes any Trainer who wishes to make representations personally to the Stewards concerning their horse from appearing before them and it does not limit the powers of Stewards to hold full enquiries.

## APPENDIX 33

### HORSES LED ON RACECOURSE PROPERTY

Rule (E)26 of the BHA Rules of Racing applies.

## APPENDIX 34

### USE OF RACECOURSE STABLES

Unless ARO otherwise direct, any horse declared to run under Regulation 80, which has not been notified as a non-runner, must be stabled in the official secure racecourse stables no less than 45 minutes before the advertised time of the race in which the horse is due to run. A failure to comply with the preceding requirement will result in a penalty being imposed on the Trainer unless the Trainer satisfies the Stewards that the reason for non-compliance was due to circumstances acceptable to them.

Persons requiring access to the official secure racecourse stables must have a personal photographic Stable Pass issued by ARO (for Trainers registered by ARO) or the BHA (for Trainers licensed by the BHA) (or, in the case of staff from abroad associated with a horse running from outside Great Britain, suitable photographic identification i.e. a passport or driving licence) for inspection, and must sign the relevant form. In the case of staff from abroad requiring access to the racecourse stables notification must be made to the ARO Office in advance of Raceday of such persons with their name and date of birth.

Trainers are reminded that they are at all times responsible for the horses in their care and that the security precautions provided at the official racecourse stables in no way relieves them of this responsibility. In particular, Trainers are held responsible for the security of their horses if a horse is left unattended in the stables.

Permission may be given by ARO or a representative of the Managing Executive of a racecourse for companion horses, ponies or other animals to use the racecourse stables provided that they are vaccinated in accordance with these Regulations.

#### **APPENDIX 35**

### **RESTRICTIONS ON SUBSTANCES ADMINISTERED TO AND TREATMENT OF HORSES ON RACECOURSE PREMISES AND POSSESSION OF PROHIBITED SUBSTANCES OR A PROHIBITED METHOD**

Rules (D)11, (E)9, (E)10, (E)11 and (K)5 of the BHA Rules of Racing apply.

#### **APPENDIX 36**

### **WEIGHING ROOM, USE OF MOBILE PHONES**

Rule (E)43 and (E)44 of the BHA Rules of Racing apply.

#### **APPENDIX 37**

### **MINOR OFFENCES**

Owners, Trainers and Riders will not necessarily have to appear before the Stewards concerning breaches of the Regulations deemed to be minor i.e. for which standard fines or penalties are imposed. In such cases a Steward will approach the person concerned to ask if he is willing for the matter to be considered by the Stewards in their absence. This procedure in no way precludes any person who wishes to make representations personally to the Stewards concerning their case from appearing before them and it does not limit the powers of the Stewards to hold full enquiries.

#### **APPENDIX 38**

### **NAMING REQUIREMENTS**

Subject to the discretion of ARO, names cannot be accepted if they:

- (a) are already registered to a horse currently registered with ARO or already registered to a sibling or parent of the horse in question or liable to cause confusion;
- (b) are the name of a public person, without that person's or their family's permission, or names of commercial significance without the appropriate permission;
- (c) contain numbers or numeral suffixes;
- (d) are suggestive or have a vulgar, obscene or insulting meaning, are in poor taste or may be offensive to religious, political or ethnic groups;
- (e) start with a sign other than a letter;
- (f) have more than 18 characters, including spaces.

No horse's name can be changed from the name originally registered by the Stud Book of the country of birth, unless ARO directs otherwise.

#### **APPENDIX 39**

### **LATE CHANGE OF RIDER**

A declared Rider may be substituted after the time of declaration under Regulation 80 where either ARO or the Stewards are satisfied that any of the following circumstances apply and the new Rider can be weighed out within the appropriate time :-

- (a) the declared Rider is ill or unfit to ride;
- (b) the declared Rider is not qualified to ride;
- (c) the substitute Rider was declared to ride another horse in the same race but the horse is unable to run;
- (d) the declared Rider is due to carry 1lb or more overweight;
- (e) any other circumstances ARO or the Stewards consider acceptable.

#### **APPENDIX 40**

### **SAMPLE COLLECTION PROCEDURES**

See Chapter K of BHA Rules of Racing.