

**DRAFT PROPOSED CHANGES TO REGULATIONS**  
**Subject to the Approval of BHA**

**RULES**

9. The Stewards have full power:
- (iv) To call for proof (see Regulation 80(i)) that a horse is neither itself disqualified **or ineligible** in any respect nor nominated by, nor the property, wholly or in part, of a disqualified person; and in default of such proof being given to their satisfaction they may declare the horse to be disqualified. They have the power to prevent from running any horse which cannot be shown to be qualified under these Regulations or under the conditions of the race.

**PART 4B**

**TRAINERS**

- 29A.
- (i) Trainers of horses shall be registered annually by the ARO Office for the purposes of Horse Racing under these Regulations, subject to such restrictions and conditions as ARO consider necessary, upon the prescribed form signed by the Trainer and on payment of an annual fee for Trainers plus an annual fee per horse and sign a declaration agreeing to be bound by these "British Horseracing Authority Regulations" currently in force. A registered Trainer must be 16 years of age or older. For Owner Trainers who train only horses owned by themselves or their spouses or their cohabitants by reason of a personal relationship between them, there may be an all-inclusive fee for both registrations.
- (ii) A Trainer registered with the ARO Office must hold a document appointing him where entries and declarations under these Regulations are made by a person other than the owner. A document signed by the owner appointing this person must be lodged at the ARO Office with the relevant fee.
- 29J. Where a horse undergoes surgery for the placement of a suprachoroidal cyclosporine implant, a Trainer must inform ARO within 7 days of surgery with a certificate signed by a Veterinary Surgeon to this effect.**

**RIDERS**

- 32.
- (ii) The following persons are eligible to ride in a Race run at a Designated Meeting and any Race run at a thoroughbred fixture other than such races restricted to Riders in Sub-Regulation (iii) below:
- (a) The holder of a current flat race jockey's license or a current Flat race apprentice jockey's license issued under Rules (D)3, (D)5, (D)6, (D)8, (D)10, (D)11 and (D)12 of the Rules of Racing;
- (b) The holder of a current license or permit issued by a Recognised Turf Authority which entitles the said holder to ride in a Flat race open to professional jockeys;
- (c) A rider currently registered with ARO under Regulation 30 (i) who has ridden in a minimum of 20 races run under these Regulations or any previous Jockey Club Regulations for Arabian Horse Racing or Flat races run under the Rules of Racing or Flat races run under the Rules of any Recognized Turf Authority providing he has either previously ridden in a race started from Starting Stalls or has undergone Starting Stalls training at the British Racing School or Northern Racing College equivalent to that received on the "Category A" Amateur Rider's Permit course;**
- (iii) The following persons are eligible to ride in an Amateur Rider's Race run at a Designated Meeting or an Amateur Rider's Race run at a thoroughbred fixture:

- (a) The holder of a “Category A” or “Category B” Amateur Rider’s Permit who is currently registered with ARO who has previously ridden in a race started from Starting Stalls or who has undergone Starting Stalls training at the British Racing School or Northern Racing College equivalent to that received on the “Category A” Amateur Rider’s Permit course;
- (b) A Rider currently registered with ARO under Regulation 30 (i) provided such Rider has previously ridden in a race from Starting Stalls or has undergone Starting Stalls training at the British Racing School or Northern Racing College equivalent to that received on the “Category A” Amateur Rider’s Permit course;**
- (vi) Subject to Sub-Regulation (vii) below, a Rider’s allowance of 5lbs may be claimed until a rider has ridden 5 winners of races run under these Regulations or any previous Jockey Club Regulations for Arabian Racing or races run under the BHA Rules of Racing or the Rules of any Recognized Turf Authority or under the BHA Regulations for Point to Point Steeple Chases unless the Rider has ridden 5 winners or more of Pony races run under the Regulations of the Pony Racing Authority in which case such Rider may only claim a rider’s allowance of 3lbs. Subject to Sub-Regulation (vii) below, Apprentice jockey’s or Rider’s allowances cannot be claimed in Group races, Listed races, any Race run at a thoroughbred fixture or in an International or Premier Handicap race. A rider shall be entitled to claim the given riding allowance specified in this Regulation until the expiry of the meeting on which he reaches the maximum number of winners appropriate to the allowance in question. The rider shall be responsible for claiming the correct riding allowance. It is also the responsibility of the rider to inform the ARO Office when he has ridden more than 5 winners as stipulated above and is no longer entitled to claim an allowance. Failure to comply with these responsibilities shall constitute a breach of these Regulations.
- (vii) An Amateur Rider currently registered with ARO under Regulation 30 (i) is entitled to claim the following riding allowances in a Handicap Race run at a Designated Meeting or a Handicap Race run at a thoroughbred fixture:-**
- 5lbs until he has won 10 races run under these Regulations or any previous Jockey Club Regulations for Arabian Racing or races run under the BHA Rules of Racing or the Rules of any Recognized Turf Authority or under the BHA Regulations for Point to Point Steeple Chases;
- 3lbs until he has won 25 such races as detailed under the 5lbs allowance above.
- The time entitlement and the responsibilities set out in Sub-Regulation (vi) above shall also apply to this Sub-Regulation

## PART 9

### FEES AND FORFEIT LIST

52. The person(s) in whose name(s) as owner(s) a horse is entered is/are liable for the entrance fee due under the conditions of the race, and for the declaration fee, and where a horse is owned by a partnership the partners shall be jointly and severally liable. Entrance money is payable in respect of every entry **including where any horse entered in a race is deemed not to have been qualified to start for the race at the time of closing**. A failure to pay entrance money or any fine or deposit within what ARO deems to be a reasonable time may cause the relevant account to be suspended and entries in respect of that account unable to be made. In addition, ARO may forward a report to the BHA requesting that the registration of such person is cancelled under Regulation 1 (xiii).

58.

- (vi) To compensate riders for being required to wear safety vests they will be automatically allowed **3lbs** when weighing out. No Rider shall weigh out or attempt to weigh out for a race when not wearing an approved safety vest. Note: This allowance is factored into the calibration of the Weighing Room scales.

## PART 11

# THE RACE

64.

- (i) All horses running at the Meeting shall be saddled at the appointed place and brought into the Parade Ring by the time stipulated on the Timetable Plan for start times displayed in the Weighing Room and racecourse stables before the signal to mount is given for the race in which they are engaged. Horses which are difficult to saddle may be saddled in the official racecourse stables provided permission to do so has been indicated beforehand on the Declaration Sheet situated in the Weighing Room not less than three-quarters of an hour before the time fixed for the race. No person shall, without special leave from the Stewards, be allowed access to the Parade Ring except Officials of the Meeting, Owners, Trainers and Riders of horses about to run in the next race and persons attendant on such horses.
- (ii) Subject to the powers of the Stewards under Regulation 9(ii) every horse must be ridden in front of the stands before going to the post and the Stewards shall decide the distance to be traversed. If the requirements of this Regulation have not been cancelled and a horse is not ridden in front of the stands, the Stewards will hold an enquiry as to whether the rider made reasonable endeavour to comply with this Regulation.
- (iii) No horse may run in more than one race on the same day.
- (iv) No Rider may remount after **being unseated on the way to the start or after the race has started unless he has been examined by a Racecourse Medical Officer or ambulance paramedic and deemed fit to remount and his horse has been examined by a Veterinary Surgeon**. If a horse is remounted **and continues in the race** it shall, on an objection under Regulation 80 (iv), be disqualified.

91. A horse is not qualified to start for a race:

- (i) Unless it is duly entered for the same;
- (ii) Unless it has been declared a runner under Regulation 48;
- (iii) If it has been tubed;
- (iv) If it has an implant other than one which is pharmacologically inactive (**this Sub-Regulation does not apply where the implant is suprachoroidal cyclosporine implant for the treatment of immune mediated corneal disease or autoimmune uveitis in specific, documented and monitored cases**) ;
- (v) If it has not been vaccinated in accordance with the requirements of Regulation 24, or if the details of such vaccination are not correctly recorded on its Passport by the time fixed for declaration under Regulation 56, except that this Sub-Regulation shall not be invoked on the first occasion it applies but will be invoked on all future occasions until the requirements of Regulation 24 are satisfied;

- (vi) If it has been to subject of a neurectomey operation **or a laparoscopic sterilisation on or after April 1<sup>st</sup>, 2010;**
- (vii) If its rider has not weighed out in accordance with Regulation 58;
- (viii) If the Passport is required for verification by a Veterinary Surgeon under Regulation 24B (iv) (a), (b), or (c) and the Passport has not been produced three quarters of an hour before the advertised time of the race, but this Sub-Regulation will not apply when a Passport is required for a verification by a Veterinary Surgeon under Regulation 24B (iv) (d) provided the Trainer has confirmed in writing that he holds the Passport and that the horse is correctly vaccinated by the time fixed for weighing under Regulation 58(i);
- (ix) If it has received a blood transfusion for the purpose of enhancing his performance in that race;
- (x) If it has not been vaccinated at all or if it has received any vaccination against equine influenza on the day of the race, or on any of the 6 days before the day of the race in which the horse is declared to run;
- (xi) If it has already run in a race which was run on the same day (apart from a walkover);
- (xii) If it is more than 120 days pregnant;
- (xiii) If, in the opinion of the Veterinary Surgeon, it has received no vaccinations against equine influenza;
- (xiv) If its heritable genome has been modified;
- (xv) If it has been administered any intra-articular corticosteroid on the day of the race or on any of the 14 days before the day of the race in which the horse is declared to run;**
- (xvi) If it has been administered any bisphosphonate under the age of three years and six months as determined by its recorded date of birth, or any bisphosphonate on the day of the race or on any of the 30 days before the day of the race in which the horse is declared to run;**
- (xvii) If it has been administered extracorporeal shockwave therapy on the day of the race or on any of the 5 days before the day of the race in which the horse is declared to run.**

114.

- (i) No horse shall run in a Handicap other than a Premier Handicap or International Handicap or as provided for in Sub- Regulation (vii) below unless, up to and including the Sunday previous to closing,

- (a) It has run in a Race run under these Regulations, or,
- (b) Has won a Race under these Regulations, or,

Irrespective of the above provisions the Handicapper may decline to allot a horse a Handicap Rating for a Handicap Race if, in his opinion, he does not have sufficient information on which to allot a Handicap Rating.

However, a horse which has been trained outside Great Britain, and is now trained in Great Britain, and which has won a race under any Rules or Regulations recognized by the International Federation of Horseracing Authorities for Arabian Horse Racing having run at least twice under such Rules or Regulations will be qualified to run in a Handicap race provided

- (a) that the horse has achieved a handicap rating in the relevant country and
- (b) the Handicapper, at his sole discretion, is prepared to allot the horse a Handicap Rating.

- (ii) No horse shall run in a Premier Handicap or a **Handicap Race with a prize fund of £3,000 or more** unless, up to and including the Sunday previous to closing:

- (a) it has run **twice** in a Race run under these Regulations, or,
- (b) has won a Race under these Regulations.

Irrespective of the above provisions the Handicapper may decline to allot a horse a Handicap Rating for a Premier Handicap if, in his opinion, he does not have sufficient information on which to allot a Handicap Rating.

However, a horse which has been trained outside Great Britain, and is now trained in Great Britain, and which has won a race under any Rules or Regulations recognized by the International Federation of Horseracing Authorities for Arabian Horse Racing having run at least three times under such Rules or Regulations will be qualified to run in a Premier Handicap or International Handicap race provided

- (a) that the horse has achieved a handicap rating in the relevant country and
  - (b) the Handicapper, at his sole discretion, is prepared to allot the horse a Handicap Rating.
- (iii) Subject to the application of Clause (iv) below, the top weight in Handicaps shall be no more than 10st 7lbs and the bottom weight not less than 9st, except that in an Extended Handicap the top weight shall be no more than 11st and the bottom weight not less than 9st or in Premier Handicaps the top weight shall be no more than 10st and the bottom weight not less than 8st or as ARO otherwise decides.
  - (iv) In all Handicap Races if, after the time for declaration under Regulation 48(ii), the highest weight declared to run is less than the highest weight as set out in the conditions of the Race, or where no such weight is stipulated is less than the top weight as laid down in Sub-Regulation (iii) above, the ARO Office shall raise the weights to the relevant highest weight and all other declared runners equally. For the avoidance of doubt this Regulation will apply whenever a Handicap Race is divided under Regulation 49(iv).
  - (v) In all Handicap Races, the Handicapper may frame the Handicap down to weights below the bottom weight permitted in the race conditions but if, after the time for declarations under Regulation 48(ii) and the operation if necessary of Sub-Regulation (iv) above, the weight of any horse including penalties is then below the permitted bottom weight then such weight shall be raised to the permitted bottom weight.
  - (vi) When a horse wins a Race after the Time of Entry laid down in the conditions of the Race such horse shall incur a standard penalty of 6lb for each Race won. When a horse wins a Race before the Time of Entry and before the provisions of Regulation 116 take effect the horse shall incur a standard penalty of 6lb for each Race won. Whenever a penalty is incurred it shall then be added to the final weights as increased under Sub-Regulation (iv) above or, if no such increase was made, to the original weights. This penalty may, if necessary, increase a weight above the top weight as stipulated in Clause (iii) of this Regulation except that no such increase shall cause any horse to have a cumulative weight of more than 11st in a Handicap Race or more than 11st 7lbs in an Extended Handicap or more than 12st in an Open Handicap.
  - (vii) Handicap Ratings will not be allocated to three year old Pure Bred horses **before 1<sup>st</sup> August.**

## APPENDIX 1

# LIST OF PROHIBITED SUBSTANCES AND METHODS

Appendix amended in line with the BHA.

## APPENDIX 4A

1. The BHA direct that all Riders, after weighing out for a race, shall not leave their saddles unattended and as soon as possible shall hand their saddles to the Trainers concerned or their representatives, who shall also not leave the saddle unattended. Saddles must not be taken back into the Changing Room after the Riders have weighed out.
2. The BHA direct that any headgear or number cloth excluded from scale under the provisions of Regulation 58 (v) and any safety vest or silk cap must be presented by the Rider to the Clerk of the Scales at the time of weighing out.
3. It is the practice of some Riders to vary their weight by changing equipment or clothing after weighing-out. The BHA give notice they will not tolerate this practice and that any Rider changing equipment or clothing after weighing-out must present himself to the Clerk of the Scales to be re-weighed. Clerks of the Scales have been instructed to report to the Stewards any Rider who fails to comply with this Appendix.
4. **Overweight will be rounded down to the nearest 1lb unit.**
5. **To compensate for being required to wear a safety vest, the weight of a Rider on weighing out will automatically be allowed at 3lbs less than the weight that is registered on the scale (factored into the calibration of the Weighing Room scales).**

## APPENDIX 6

# SAFETY VESTS

Only safety vests described below are approved by the BHA for use in Races run under these Regulations:

The safety vest must conform to BS EN 13158:2009 **or 2018**.

**The minimum acceptable standard for a safety vest is Level 2.**

## APPENDIX 27

The holder of a Jockey's license will be suspended in accordance with the provisions of the BHA Rules of Racing. The holder of a Category 'A and 'B' Amateur Riders Permit will be suspended in accordance with the provisions of BHA Rules of Racing when riding in an Arabian race run on a Thoroughbred card or at a Designated Meeting but otherwise will be fined in accordance with the above table or the table below unless the matter is the subject of a referral to BHA. A rider who is solely registered with ARO will be fined in accordance with the above table or the table below unless the matter is the subject of a referral to BHA.

## APPENDIX 35

### **Restrictions on Substances Administered to and Treatment of Horses on Racecourse Premises**

ARO directs that from the time that the Stable Security Officer commences duty at a racecourse, with the exception of normal feed and water by mouth, no substance shall be administered to any horse by injection, orally or any other method while it is on the racecourse premises, unless dispensation is granted by the acting Veterinary Officer.

With the exception of normal feed and water by mouth, no substance **may be administered** to any horse at any time on the day of a race by injection, orally or any other method until it has left the racecourse premises, unless **special** dispensation has been granted by the acting Veterinary Officer.

With the exception of the acting Veterinary Officer, no person shall bring on to the racecourse premises any substance or any means of administering such a substance unless dispensation is granted by the acting Veterinary Officer. For the purpose of this Instruction the racecourse premises does not include vehicles on such premises under the control of the Trainer or their employees.

A general dispensation is granted to **enable a Trainer or his employees to** use a bulb syringe to administer water to a horse in small quantities whilst on racecourse premises but ARO may withdraw this dispensation generally or from specific Trainers or their employees.

**Within two hours of the start time of a race in which the horse is declared to run, it is not permissible to stand a horse in ice or iced water, nor is it permissible to apply a cooling device to any part of the horse (including ice boots or bandages, or ice bags), unless a Veterinary Officer grants a special dispensation. The use of such treatments is permitted post-race, or following withdrawal from a race.**

In the case of injury or illness to a horse treatment will be given by the acting Veterinary Officer. A Veterinary Officer may authorize the admission of the Trainer's Veterinary Surgeon, if he is available, to advise and assist with the treatment.

**It is not permissible for a horse to receive Manipulative Therapy on the day of the race until such time as the horse has either run in the race or has been withdrawn unless, in the case of a massage rug, the Trainer has obtained prior permission from a Veterinary Officer. Manipulative Therapy includes physiotherapy, osteopathy, chiropractic and acupuncture.**